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# A GIS-INDEPENDENT METHOD OF STREAM-ORDER-LAW RATIOS PREDICTION IN CATCHMENTS FOR DIRECT RUNOFF HYDROGRAPH ESTIMATION

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## ABSTRACT

#### Aim of the study

There are two main aims of this paper: to present equations that can predict the stream-order-law ratios on the basis of length, slope of the stream, and area of the catchment; to apply the predicted stream-order-law ratios in estimating direct runoff of catchments by GIUH method.

#### Material and methods

In order to use GIUH model, the stream network's characteristics, such as bifurcation ratio (RB), streamlength ratio (RL), stream-area ratio (RA), stream-slope ratio (RS), and overland slope ratio (RSO) must be evaluated. For this purpose, accurate GIS based information of topography and hydrography is often necessary, and yet in many catchments such information is missing. In this study, equations have been presented that are related to the available geomorphological information about the catchment, such as area, slope and length of the main river. The equations presented herein have been developed based on non-linear regressions of data from nine catchments.

#### **Results and conclusions**

To validate the proposed method, the data of three other catchments were used. Based on the presented equations, the runoff of Heng-Chi (Taiwan) and Kasilian (Iran) catchments was calculated by GIUH method. The results indicate that the peak runoff error calculated using the GIUH model based on regression equations was 10% higher than model calculations based on the data obtained from GIS. The average error of the regression equations in estimating the coefficients of RB, RL, RA, and RS in the three case study catchments are 4.7%, 23.5%, 7.1%, 41.3% and 22.9%, respectively. The relative sensitivity coefficient of RB, RL, RA, and RS ratios on peak flow was observed as 0.56, 0.01, 0.92, 0.042, and 1.33 respectively.

Keywords: GIUH, GIS, stream-order-law ratios, geomorphological parameters, runoff

## INTRODUCTION

Estimation of design flood in catchments is an important issue in the design of hydraulic structures. Many catchments of the world are ungauged. For those, statistical methods that strongly rely on observed runoff data are not efficient, therefore rainfall-runoff models are commonly employed to estimate runoff (Sabzevari et al. 2010; Fariborzi et al. 2019; Recanatesi and Petroselli 2020; Petroselli et al. 2020a). In the last

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decades, many simple (e.g. rational formula or eventbased) or elaborate (e.g. continuous or distributed) rainfall-runoff models have been proposed, however, it is noteworthy that advanced approaches are often characterized by many input parameters to be estimated, and this circumstance limits their application in ungauged basins, i.e. catchments where runoff information is lacking (Młyński et al. 2020; Ayalew et al. 2022).

Indeed, for ungauged basins, a rainfall-runoff model that is parsimonious in terms of input needed parameters should be preferred (Petroselli et al. 2020b), such as the Geomorphological Instantaneous Unit Hydrograph (GIUH) rainfall-runoff model. The concept of GIUH was proposed by Rodriguez-Iturbe and Valdes (1979). They suggested an Instantaneous Unit Hydrograph (IUH) model (Horton, 1932) in which the time to peak and peak flow of the catchment were functions of Geomorphologic Parameters (GP) of the catchment. The GIUH model was extended and used by other scientists in different catchments (e.g. Gupta et al. 1980; Rodriguez-Iturbe and Valdes, 1982; Lee and Yen, 1997; Kumar and Kumar, 2008; Sabzevari and Norouzpoor, 2014; Babaali et al., 2021).

An alternative approach was devised by Lee and Yen (1997). The travel times for different orders of overland areas and channels were derived using the kinematic-wave theory and then substituted into the GIUH model to develop a kinematic wave-based GIUH model for watershed runoff simulation. Lee and Chang (2005) proposed a GIUH model for the estimation of surface and subsurface flow of catchments. In their research, special importance was given to the separation of surface flow from subsurface flow in catchments. Sabzevari et al. (2013) modified the model presented by Lee and Chang (2005) for the estimation of surface and subsurface flows of the Kasilian catchment.

The geomorphologic parameters of the catchments are commonly calculated by GIS software such as ArcGis and hydrologic extensions such as ArcHydro. For this purpose, accurate representation of topography and hydrography is necessary, for instance, using Digital Elevation Model (DEM) of the catchment. Indeed, it is well known in literature that in the GIUH formulation, the shape of IUH is strongly affected by the drainage network parameters, and the differences in the channel shapes due to the DEM resolution can alter the whole analysis (Petroselli, 2012).

Using GIS, stream networks are delineated and GP such as the number of streams, lengths, slopes, and drainage areas in each order of streams are obtained based on stream orderings. The Stream-Order-Law Ratios (SOLR) are calculated based on geomorphological information and vice versa. The equations governing the GIUH model can be developed based on SOLR or GP (Kumar and Kumar, 2008). According to the GIUH model offered by Yen and Lee (1997), the travel times of overland regions and streams could be calculated using stream-order-law ratios prior to IUH estimation.

Studies of stream orderings of catchments were first introduced by Horton (1932, 1945). Later, modifications were made to Horton's method by Strahler (1952, 1957, 1964), leading to a new method of ordering. Shreve (1966) concluded that the Strahler stream numbers generally produced more accurate results for natural stream networks than did the Horton stream numbers. Horton-Strahler's laws were extensively used in geomorphological applications to classify river systems (e.g. Raff et al., 2003; Reis, 2006), to establish relationships with the fractal nature of channel networks, as detailed by Rodríguez-Iturbe and Rinaldo (1997) (e.g. Beer and Borgas, 1993; La Barbera and Roth, 1994; Rodríguez-Iturbe et al., 1994), and to characterize scale properties (Claps et al., 1996; Peckham and Gupta, 1999; Veitzer and Gupta, 2000; Dodds and Rothman, 1999, 2001).

The use of GIS tools is one of best ways of calculating the geomorphological parameters (Sarangi et al., 2003; Obi Reddy et al., 2004; Valeriano et al., 2006; Ozdemir and Bird, 2009), with the reservation that a high resolution DEM should be used, providing a more accurate prediction of GP and runoff when using GIUH models. Although nowadays DEM is offered globally (e.g. Shuttle Radar Topography Mission), the resolutions used are often overly coarse (e.g. 30–90 m). Theresore, in the present research equations are proposed that are derived from the geomorphological information of a number of catchments where the SOLR and GP can be calculated, based on parameters such as the catchment's area and main river length. These data are applied as input parameters to the GIUH model and are used in predicting the surface and subsurface runoff of the catchment.

The primary aims of the study are:

- To present equations that can predict the streamorder-law ratios of catchments on the basis of length, slope of the main stream and area of the catchment;
- To apply predicted stream-order-law ratios in order to estimate direct runoff hydrograph of ungauged catchments by means of the GIUH method.

# MATERIALS AND METHODS

#### The GIUH model

Surface runoff from overland regions moves through stream networks to the outlet of the catchment. If a catchment is ordered using Strahler ordering scheme, the paths of water travel from overland regions to the outlet are specified. Each flow path is composed of various states, the first of which is the overland region, and the remainder of which are the streams. The probability of water motion along a certain path  $x_{o_i} \rightarrow x_i \rightarrow x_j \rightarrow ... \rightarrow x_{\Omega}$  is expressed as:

$$P(w) = P_{OA_i} P_{x_{oi}x_i} P_{x_ix_j} \dots P_{x_kx_{\Omega}}$$
(1)

where  $P_{OA_i}$ , is the initial state probability of a raindrop moving from the *i*<sup>th</sup> order overland region to the *i*<sup>th</sup> order stream. This can be approximated as the ratio of the *i*<sup>th</sup> order overland area to the total catchment area.  $P_{x_{oi}x_i}$  is the probability of the raindrop moving from the *i*<sup>th</sup> order overland region  $(x_{o_i})$  to the *i*<sup>th</sup> order stream, and is equal to one.  $P_{x_ix_j}$  is the transitional probability of the drop moving from the *i*<sup>th</sup> order stream  $(x_i)$  to the *j*<sup>th</sup> order channel  $(x_j)$ . The number of streams at each order, and the way in which they are connected to each other, determine the probabilities in Eq. (1).

The value of the IUH of a watershed comprising different runoff paths is given by Eq. (2) (Rodriguez-Iturbe and Valdes, 1979).

$$u(t) = \sum_{w \in W} \left[ f_{x_{oi}}(t) * f_{x_{i}}(t) * f_{x_{j}}(t) * \dots * f_{x_{\Omega}}(t) \right]_{w} \times P(w)$$
(2)

where  $f_{x_k}(t)$  denotes the travel time Probability Density Function (PDF) in state  $x_k$  with a mean travel time value  $(T_{x_k})$ , and the function *f* is the IUH of any state  $x_k$  calculated using the formula  $f(t) = (1/T_{x_k}) \exp(-t/T_{x_k})$ . The PDF is a function of the travel time in each state in the overland regions and streams. The asterisk (\*) denotes a convolution integral.  $w \in W$ , where *W* is  $W = \langle x_{o_i}, x_i, x_i, \dots, x_0 \rangle$ ,  $i = 1, 2, 3, \dots, \Omega$  and *t* is the time.

To solve Eq. (2), the Laplace transformations could be used. In the derivation of GIUH, the computation of travel time forms the most complex part of the work, since its value depends on the GP of the catchment.

The ordinates of DRH for the catchment were estimated by combining the excess rainfall hyetograph with the derived IUH. The equation for the estimation of DRH is:

$$Q(t) = \int_0^t u(t-\tau) I_e(\tau) d\tau$$
(3)

where  $I_e$  is the excess rainfall and u(t) is the catchment IUH.

#### Travel time for overland planes and streams

According to the kinematic wave theory, the travel time for an overland plane depends on the length, slope, Manning coefficient, and excess rainfall intensity. Eq. (4), proposed by Yen and Lee (1997), gives the travel time for the  $i^{\text{th}}$  overland plane.

$$T_{X_{oi}} = \left(\frac{n_0 A P_{OA_i} \sum_{i=1}^{\Omega} R_L^{i-\Omega}}{2a^{1/2} S_{c\,\Omega}^{b/2} L q_L^{m-1} R_B^{\Omega-i} R_L^{i-\Omega} R_S^{b(i-\Omega)/2}}\right)^{1/m} (4)$$

where  $R_B$ ,  $R_L$ ,  $R_A$ , and  $R_S$  are the bifurcation ratio, stream-length ratio, stream-area ratio, and stream-slope ratio, respectively; A is the area of the catchment; a and b have values 5.463 and 1.083 respectively;  $q_L$ is the excess rainfall intensity;  $n_0$  is the Manning's roughness coefficient for overland flow; and  $S_{c\Omega}$  is the slope of the highest order stream. The constant m can be recognized as 5/3 from Manning's equation, and Lis the sum of the mean lengths of the streams of different orders.

The travel time for the  $i^{\text{th}}$ -order channel in each path is obtained, based on its GP, from Eq. (5) (Yen and Lee, 1997):

$$T_{x_{i}} = \frac{B_{\Omega}LR_{L}^{i-\Omega}R_{B}^{\Omega-i}\sum_{i=1}^{i}R_{L}^{i-\Omega}}{q_{L}AP_{OA_{i}}\left(\sum_{i=1}^{\Omega}R_{L}^{i-\Omega}\right)^{2}}$$

$$\left[ \left( h_{co_{i}}^{m} + \frac{q_{L}AP_{OA_{i}}n_{c}\sum_{i=1}^{\Omega}R_{L}^{i-\Omega}}{B_{\Omega}S_{c_{\Omega}}^{1/2}R_{S}^{(i-\Omega)/2}R_{B}^{\Omega-i}\sum_{i=1}^{i}R_{L}^{i-\Omega}} \right)^{1/m} - h_{co_{i}} \right]$$
(5)

where  $h_{co_i}$  i.e. the inflow depth of the *i*<sup>th</sup>-order channel due to water transported from upstream reaches, is given by:

$$h_{co_{i}} = \left(\frac{q_{L}n_{c}A\left(R_{B}^{\Omega-i}R_{A}^{i-\Omega}-P_{OA_{i}}\right)\sum_{i=1}^{\Omega}R_{L}^{i-\Omega}}{S_{c_{\Omega}}^{1/2}B_{\Omega}R_{S}^{(i-\Omega)/2}R_{B}^{\Omega-i}\sum_{i=1}^{i}R_{L}^{i-\Omega}}\right)^{1/m}$$
(6)

where  $n_c$  is the Manning coefficient of the stream, and  $B_{\Omega}$  is the width of the stream. The value of  $h_{co_i}$  is equal to zero for I = 1.

## Horton-Strahler stream-order-law ratios

As can be observed from Eqs. (5) and (6), SOLR, particularly  $R_S$ ,  $R_A$ ,  $R_L$  and  $R_B$ , are of great importance in runoff estimation using the GIUH model. These affect the travel times, IUH and DRH; they are also calculated according to the GP. For this purpose, the stream network is delineated by means of GIS. Using GIS, the streams are ordered using the Horton-Strahler method, and the number, length, and slope of the streams are computed at each order. In order to obtain the coefficients  $R_S$ ,  $R_A$ ,  $R_L$ , and  $R_B$ , the equations presented in Table (1) are used.

As a result of experiments in natural catchments, the following ranges are observed:  $3 \le R_B \le 5$  and  $1.5 \le R_L \le 3.5$ . The slopes of the streams and overland planes for different catchments at each order are different. The mean values of these slopes at each order take a considerable time to compute using GIS, especially for large catchments.

In this research, a new slope ratio, namely the overland slope ratio ( $R_{SO}$ ), is introduced. It is given in terms of the mean slope of the overland plane by:

$$R_{SO} = \overline{S}_{o_{i-1}} / \overline{S}_{o_i} \tag{11}$$

where  $\overline{S}_{o_i}$  is the mean slope of the *i*<sup>th</sup>-order overland plane. In this research, we aim to clarify the relationship between  $R_{SO}$  and the other SOLR.

In this paper, a technique for computing stream-order ratios using regression equations is presented. These equations, obtained by regression methods, are based on statistical analysis of information from catchments possessing known geomorphological attributes. The application of these equations in performing computations will be described in subsequent sections.

Equation Number	Equation	Description
(7)	$R_B = N_{i-1} / N_i$	$N_i$ : Number of $i^{\text{th}}$ -order channels
(8)	$R_L = \overline{L}_{c_i} \ / \ \overline{L}_{c_{i-1}}$	$\overline{L}_{c_i}$ : Length of <i>i</i> <sup>th</sup> -order channels
(9)	$R_A = \overline{A}_i / \overline{A}_{i-1}$	$\overline{A}_i$ : Mean area of <i>i</i> <sup>th</sup> -order catchment
(10)	$R_S = \overline{S}_{c_i} \ / \ \overline{S}_{c_{i-1}}$	$\overline{S}_{c_i}$ : Mean slope of <i>i</i> <sup>th</sup> -order streams

**Table 1.** Equations related to the Horton-Strahler stream-order-law ratios (source: Authors' own elaboration)

## The case studies

To study the relationship between SOLR, knowledge of the GIS-based SOLR (that is, the SOLR derived from GIS) of several natural catchments is required. This research uses information obtained from twelve catchments in various countries. Table (2) shows the GIS-based SOLR, with the stream order ratios of the case study catchments. The nine catchments of Long Chi, Long Men, Chaukhutia, Al-Malaqi, Debarwa, Gherghera, San-Hsia, Al-Badan, and Al-Faria were used for training and the estimation of the regression equations. The three catchments of Gagas, Heng-Chi and Kasilian were used for the verification of the regression equations. The columns in Table (2) show the catchment name and reference, stream order (*i*), number of streams, mean stream length, mean stream area, mean stream slope, mean overland slope,  $R_B$ ,  $R_L$ ,  $R_A$ ,  $R_S$ , and  $R_{SO}$ .

The Heng-Chi catchment is located in northern Taiwan and has an area of 53 km<sup>2</sup>. The Gagas catchment lies in the middle and outer range of the Himalayas in the Uttarakhand state of India and has an area of 506 km<sup>2</sup>. The Kasilian Catchment is located in the north of Iran between longitudes 53°18'E and 53°30'E and latitudes 35°58'N and 36°7'N, and has an area of 67.8 km<sup>2</sup>. Fig. (1) shows the Gagas, Kasilian and Heng-Chi catchments.

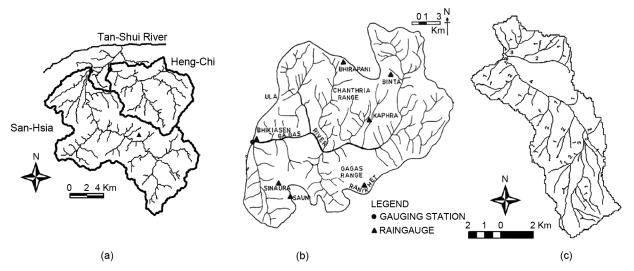
Table 2. Geomorphological	characteristics of twelve case stu-	ly catchments	(source: Authors'	own elaboration)

Catchment	Geomorphological parameters										
Name	Order	$N_i$	$\overline{L}_i$	$\overline{A}_i$	$\overline{S}_c$	$\overline{S}_o$	$R_B$	$R_L$	R <sub>A</sub>	$R_S$	R <sub>S</sub>
1. Gagas	1	121	1.74	3.02	0.172	0.810	4.8	2.4	5.4	0.4	2.0
(Kumar and Kumar, 2008)	2	23	3.04	18.58	0.141	0.655					
	3	6	7.63	79.22	0.041	0.172					
	4	1	23.4	506	0.017	0.065					
2. Heng-Chi	1	30	0.66	1.043	0.087	0.450	3.3	2.6	4	0.6	1.
	2	6	2.74	6.919	0.050	0.419					
(Lee and Chang, 2005)	3	2	1.6	19.9	0.012	0.349					
	4	1	4.97	53.23	0.012	0.347					
3. Kasilian	1	42	1.6	0.915	0.241	0.345	3.5	1.5	4.3	0.4	1.
	2	11	1.79	4.813	0.070	0.297					
(Sabzevari et al., 2013)	3	3	2.45	20.75	0.047	0.263					
	4	1	4.65	67.8	0.008	0.261					
4. San-Hsia	1	69	0.92	1.15	0.161	0.314	4.2	2.9	5	0.4	1.
	2	16	2.08	4.99	0.092	0.203					
(Chang and Lee, 2008)	3	3	3.88	18.15	0.037	0.364					
	4	1	17.8	125.9	0.013	0.293					
5. Al-Badan	1	41	1.38	1.37	0.170	0.140	4	1.5	4.5	1	1.
	2	6	3.2	10.12	0.092	0.062					
(Shadeed et al., 2007)	3	2	5.03	40.73	0.140	0.051					
	4	1	3.17	85	0.135	0.029					

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# Table 2. cont.

Catchment	Geomorphological parameters										
Name	Order	$N_i$	$\overline{L}_i$	$\overline{A}_i$	$\overline{S}_c$	$\overline{S}_o$	$R_B$	$R_L$	R <sub>A</sub>	R <sub>S</sub>	$R_{S}$
6. Al-Faria	1	49	1.03	0.937	0.154	0.117	4	1.5	4.3	1.1	1.
	2	8	2.12	6	0.085	0.058					
(Shadeed et al., 2007)	3	3	3.5	19.4	0.161	0.033					
	4	1	2.62	64	0.125	0.031					
7. Al-Malaqi	1	62	1.92	1.81	0.146	0.140	9	1.3	17	0.8	4.
	2	16	2.61	5.83	0.122	0.063					
(Shadeed et al., 2007)	3	1	3.21	185	0.081	0.010					
8. Debarwa	1	23	2.26	5.6	0.032	0.135	4.9	3	6	0.6	1.
	2	6	4.2	27.8	0.018	0.091					
(Alemngus and Mathur, 2014)	3	1	17.7	195	0.010	0.098					
9. Gherghera	1	58	2.45	5.9	0.027	0.136	2.9	1.4	3.3	0.9	1.
	2	14	4.19	30.6	0.018	0.087					
(Alemngus and Mathur, 2014)	3	5	10.2	101.0	0.010	0.064					
	4	2	4.47	259.9	0.016	0.025					
	5	1	4.19	525.7	0.011	0.117					
10. Long Chi	1	46	1.13	2.5	0.210	0.444	3.7	2.4	4	0.6	1.
	2	10	3.45	11.8	0.124	0.487					
(Shuyou et al., 2010)	3	4	3.19	32	0.073	0.514					
	4	1	9.94	141.8	0.054	0.364					
11. Long Men	1	58	1.31	2.74	0.560	0.256	4	2.2	4.7	0.9	1
	2	13	2.48	12.3	0.560	0.123					
(Shuyou et al., 2010)	3	3	9.33	77.11	0.560	0.056					
	4	1	8.18	246.8	0.385	0.056					
12. Chaukhutia	1	134	1.41	2.27	0.191	0.910	5.3	2.5	5.7	0.5	2.
	2	31	2.65	12.28	0.123	0.567					
(Kumar, 2015)	3	7	7.21	60.18	0.041	0.174					
	4	1	20.7	452.3	0.019	0.074					



**Fig. 1.** Drainage network map, a) Heng-Chi catchment; b) Gagas catchment; c) Kasilian catchment (source: after: a) Lee and Chang, 2005; b) Kumar and Kumar, 2008; c) Sabzevari et al., 2013)

#### Prediction of SOLR

To estimate the bifurcation ratio of a catchment, information concerning 37 catchments with areas between 1 km<sup>2</sup> and 600 km<sup>2</sup> and with known values of  $R_B$  and area were used. Using Statistical Package for the Social Sciences (SPSS) software (Norusis, 1999, Mohamoud and Parmar, 2006), and using the information from these 37 catchments, the optimum relationship was obtained as:

$$R_B = 0.0027A + 3.47 \tag{12}$$

The correlation coefficient of the fitted equation is 0.8 and the real mean bifurcation ratio of the catchments is 4. Figure 2 shows the fitted linear regression.

Eq. (12) indicates that in small catchments with an area of less than 600 km<sup>2</sup> the value of  $R_B$  falls between 3.47 and 4. It is suggested that Eq. (12) should be applied to catchments with areas lower than 600 km<sup>2</sup>. It should be noted that, in regard to Eq. (7) and  $R_B$ , the values of  $N_i$  are calculated for  $i \leq \Omega$ , where  $\Omega$  is the maximum order of the catchment.  $N_{i=\Omega} = 1$  is assumed, whereas  $N_{i-1} = R_B N_i$ ,  $i \leq \Omega$  (Horton, 1945).

In this study, the length ratio  $R_L$  was assumed to be a function of the main stream length and the area of the entire catchment. Among all 37 catchments, in 12 catchments the required information (the length of main river, area, slope and SOLR) was available, so 9 catchments were used for the estimation of the equations and 3 catchments served for their validation.

The fitted regression equation for the nine selected catchments shown in Table (2) is as follows:

$$R_I = 2.59 L^{0.41} A^{-0.2} \tag{13}$$

The correlation coefficient is equal to 0.91.

Based on Eq. (8) and  $R_L$ , the values of  $\overline{L}_{c_i}$  are calculated for  $i \leq \Omega$ .  $\overline{L}_{c \Omega} = L$  is assumed, and  $\overline{L}_{c_{i=1}} = \overline{L}_{c_i} / R_L$ ,  $i \leq \Omega$  (Horton, 1945).

The area ratio  $(R_A)$  was assumed to be a function of the bifurcation ratio and the length ratio, with fitted equation:

$$R_A = 0.597 R_B^{1.553} R_I^{-0.177} \tag{14}$$

The correlation coefficient is 0.99.

Fig. 3(b) shows the  $R_A$  values calculated from Eq. (14) compared to the real values.

 $\overline{A}_{\Omega} = A$  is considered, whereas  $\overline{A}_{i-1} = \overline{A}_i / R_A$ ,  $i \leq \Omega$  (Schumm, 1956).

Stream slope ratio was assumed to be a function of  $R_{R}$ ,  $R_{I}$ , and  $R_{A}$ . Eq. (15), with correlation coeffi-

cient 0.79, represents the fitted regression relationship for the data.

$$R_S = 1.198 R_B^{1.26} R_L^{-0.97} R_A^{-1.04}$$
(15)

A nonlinear regression equation consisting of the parameters  $R_B$ ,  $R_L$ ,  $R_A$ , and  $R_S$  was used to calculate the slope ratio of the overland plane with the fitted relationship:

$$R_{SO} = 0.366 R_B^2 R_L^{-0.58} R_A^{-0.66} \tag{16}$$

The correlation coefficient of Eq. (16) is 0.93, and no strong correlation between  $R_{SO}$  and  $R_S$  was observed.

## Prediction of geomorphological information

The catchment area and the length and slope of the main river can be determined from the topographic maps of the catchment (scale 1:25000 to 1:50000). If a catchment has a maximum stream order of  $\Omega$ , which implies that the stream is located at the end of the catchment with mean slope  $(\overline{S}_{c_{\Omega}})$  and mean slope of the lateral overland planes  $(\overline{S}_{o_{\Omega}})$ . For instance, Fig. 4 shows a small catchment with three sub-catchments (I, II, III). The maximum stream order is two ( $\Omega = 2$ ). Sub-catchment III is created with two lateral overland

planes and stream III is positioned at the end of the main catchment. Fig. 2 shows the mean slope of the stream III  $(\overline{S}_{c_2})$  and the mean slope of the two lateral overland planes  $(\overline{S}_{o_2})$ .

If the values of  $\overline{S}_{c_{\Omega}} \overline{S}_{o_{\Omega}} R_{S}$  and  $R_{SO}$  are known, the values of  $\overline{S}_{c_{1}}$  and  $\overline{S}_{o_{i}}$  are computable from Eqs. (10) and (11) for lower orders,  $i < \Omega$  ( $\overline{S}_{c_{i-1}} = \overline{S}_{c_{i}} / R_{S}, \overline{S}_{O_{i-1}} = \overline{S}_{O_{i}} R_{SO}$ ).

To calculate the value of  $P_{x_i x_j}$  in Eq. (1), the following equation is used:

$$P_{x_i x_j} = N_{i,j} / N_i \tag{17}$$

where  $N_{i,j}$  is the number of  $i^{\text{th}}$  order streams contributing flow to the  $j^{\text{th}}$  order stream, and  $N_i$  is the number of  $i^{\text{th}}$  order channels. The value of  $N_i$  can be calculated using the bifurcation ratio, although to obtain the parameter  $N_{i,j}$ , the following equation is suggested:

$$N_{i,i} = 2N_i \exp(-0.64j)$$
(18)

which is obtained through nonlinear regression of the stream network data based on the geomorphological parameters of the Kasilian and the Gagas catchments. The catchments possessing DEM must be delineated by stream network and ordered using GIS software; however, calculation of  $N_{i,j}$  must be done manually and rendered by the GIS operator.

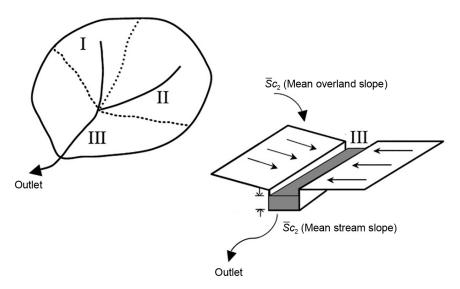


Fig. 2. Catchment with maximum stream order two (source: after Lee and Chang, 2005)

# **RESULTS AND DISCUSSION**

Figure 3 shows the relationship between the  $R_B$  and the area, based on Table 2 data regression.

Fig. 4(a) shows the  $R_L$  values calculated from Eq. (13) compared to the GIS values of  $R_L$ .

Figs. 4(c) and 3(d) show the values of  $R_S$  and  $R_{SO}$  obtained from Eqs. (15) and (16) in comparison with the real values. From Eqs. (16) and (11), the slope of the overland planes of the catchment can be obtained. It should be noted that Eqs. (12) to (16), which are obtained from information on the nine catchments, may be calibrated by adding more data. Given that, for all catchments, the lengths of the main rivers and the areas are known, the ratios  $R_B$ ,  $R_L$ ,  $R_A$ ,  $R_S$ , and  $R_{SO}$  can be calculated from Eqs. (12) to (16).

#### Effect of ratios $R_B$ , $R_L$ , $R_A$ , $R_S$ and $R_{SO}$ on DRH

In the previous section of this study, empirical equations for geomorphological ratios were presented. In this section, we apply the GIUH model to carry out sensitivity analysis on these ratios and examine their effects on DRH and peak flood. For this analysis, information from the Kasilian catchment was utilized.

Fig. (5a) illustrates the effect of bifurcation ratio on DRH for the Kasilian catchment on the observed event of May 4, 1993.

Values for the bifurcation coefficient of 3, 3.5, 4, and 4.5 with 0.5 unit increments were considered for the Kasilian catchment, and the number of streams and the values of the input parameters into the GIUH model were computed and inserted into the model. The effect of  $R_B$  on the shape of the hydrograph and the peak of the runoff is shown in Fig. 5(a). The results of the model are compared with those of the recorded runoff.

To evaluate the effect of  $R_B$  on the peak flow, the following equation for relative sensitivity  $(S_r)$  was used:

$$S_r = \frac{O_2 - O_1}{P_2 - P_1} \left( \bar{P} / \bar{O} \right)$$
(19)

where *O* and *P* represent the specific outputs and parameters of the model, respectively. Therefore,  $S_r$  gives the percentage change in *O* for a 1% change in *P*.  $\overline{P}$  and  $\overline{O}$  are given by  $(P_1+P_2)/2$  and  $(O_1+O_2)/2$  respectively. The results confirm that the lowest computational error in peak discharge relative to the observed peak discharge was recorded at  $R_B = 3.5$  with an error of 3.5%. The actual  $R_B$  for the Kasilian catchment is also 3.5. The mean relative sensitivity of  $R_B$ , as derived from Eq. (19), is 0.56.

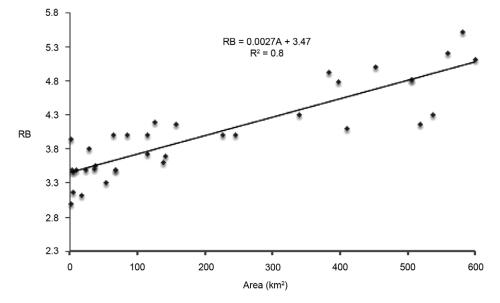


Fig. 3. Linear regression between bifurcation ratio and catchment area (source: Authors' own elaboration)

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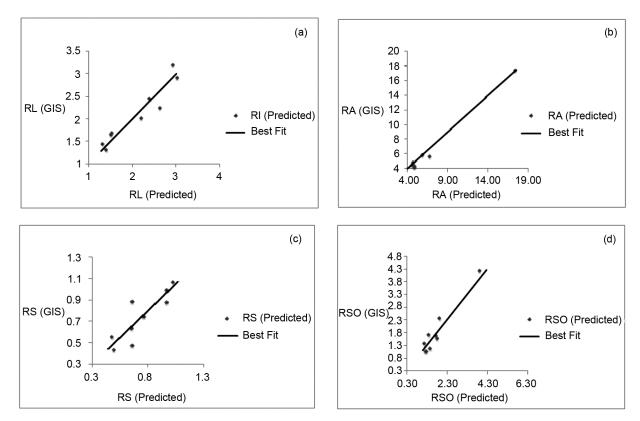
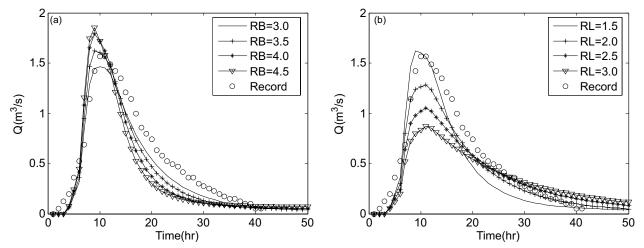
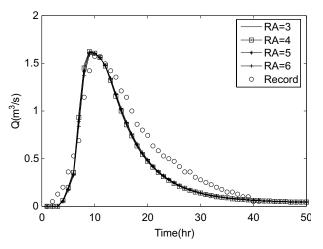


Fig. 4. GIS-based stream-order-law ratios against predicted SOLR (source: Authors' own elaboration)



**Fig. 5.** Effect of  $R_B$  and  $R_L$  on direct runoff hydrograph for the observed event of May 4, 1993 (Kasilian catchment) (source: Authors' own elaboration)

Fig. 5(b) shows the effect of  $R_L$  on DRH for same event. The values of this ratio were taken to be 1, 1.5, 2, and 2.5 with a 0.5 increment. The results show that  $R_L = 1.5$  produces the least error in peak discharge, with 3.6% error value. The actual  $R_L$  of the catchment is 1.46, and the mean relative sensitivity of  $R_L$  amounts to 0.92. Larger values of  $R_L$  produce higher peak errors. The runoff is affected more by the length ratio than the bifurcation ratio, as can be observed in Fig. (5). The next section



**Fig. 6.** Effect of area ratio on direct runoff hydrograph for the observed event of 4<sup>th</sup> May 1993 (Kasilian catchment) (source: Authors' own elaboration)

of this paper is dedicated to the effects of the area ratio on the peak of the runoff. The values of area ratio considered ranged between 3 and 6, with 1 unit increment values. Fig. 6 shows the effect of area ratio on DRH.

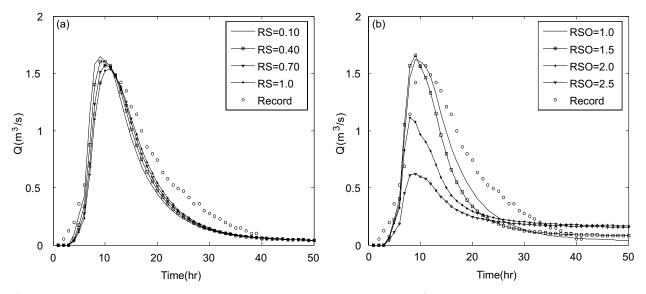
The results indicate that the area ratio has a small effect on the runoff peak, so that alterations of this ratio do not noticeably influence the shape of hydrograph and the flood peak.

Fig. 7(a) shows how  $R_S$  affects DRH for the values 0.1, 0.4, 0.7, and 1 with an increment of 0.3.

The lowest error is 0.47, which corresponds to the ratio (0.7), while the actual slope ratio of the Kasilian catchment is 0.38. In addition, the mean relative sensitivity ratio is 0.042. The results indicate that this parameter also has little effect on runoff peak.

Figure 7(b) shows the influence of  $R_{SO}$  on DRH for values of 1, 1.5, 2, and 2.5 with an increment of 0.5. The lowest error is seen at a ratio of 1, with a 3.54% error value, while that of Kasilian catchment would be 1.1, and the mean relative sensitivity ratio 1.33. These results indicate that the parameter  $R_{SO}$  has a substantial effect on runoff peak.

The overall results demonstrate the relative sensitivity of DRH to  $R_B$ ,  $R_L$ ,  $R_A$ ,  $R_S$ , and  $R_{SO}$  to be 0.56, 0.92, 0.01, 0.042, and 1.33, respectively. The strongest effects correspond to the  $R_{SO}$ ,  $R_L R_B$ ,  $R_S$ , and  $R_A$ respectively.



**Fig. 7.** Effect of  $R_s$  and  $R_{so}$  on direct runoff hydrograph for the observed event of 4<sup>th</sup> May 1993 (Kasilian catchment) (source: Authors' own elaboration)

# Validation of stream-order-law ratios relationships

In the previous sections, equations were presented for the estimation of SOLR based on GP in nine different catchments worldwide. For verification of the results of the regression equations, the GP of three catchments, Gagas, Heng-Chi, and Kasilian, were applied.

Table (3) lists the GP and stream-order-law ratios of the three selected catchments using Eqs. (12) to (16). Table (3) also provides the values of stream order ratios and their computational errors.

In order to calculate the error related to the streamorder-law ratios, Eq. (20) below was used:

Error% = 100 \* 
$$(R_p - R_{GIS}) / R_{GIS}$$
 (20)

where  $R_p$  is the predicted stream-order ratio and  $R_{GIS}$  is the GIS-based stream order ratio.

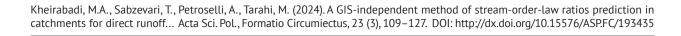
Figs. (8) to (10) show the GIS-based and computational GP of the three case study catchments.

The mean errors of the regression equations for the estimation of  $R_B$ ,  $R_L$ ,  $R_A$ ,  $R_S$ , and  $R_{SO}$  in the three selected catchments are 4.7%, 23.5%, 7.1%, 41.3%, and 22.9%, respectively.

The greatest errors of the model emerged in the estimation of  $R_S$ ,  $R_L$ ,  $R_{SO}$ ,  $R_A$ , and  $R_B$ , respectively. As demonsrated in Fig. 7(a), the stream slope ratio has a small effect on the runoff, and its error could therefore be ignored. Regarding the high sensitivity of the length and overland slope ratios, these errors range from 23% to 24%, and it is recommended that the joint effects of all the ratios on DRH of the selected catchments be considered.

Table 3. Calculated geomorphological parameters of the Gagas, Heng-Chi, and Kasilian catchments (source: Authors' own	l
elaboration)	

Catchment	Predicted Geomorphological Parameters										
Name	Order	$N_i$	$\overline{L}_i$	$\overline{A}_i$	$\overline{S}_{c}$	$\overline{S}_o$	$R_B$	$R_L$	$R_A$	$R_S$	R <sub>SC</sub>
1. Gagas	1	113	1.38	2.6	0.146	0.222	4.84	2.72	5.78	0.53	1.5
	2	23	3.54	15.1	0.101	0.147					
	3	5	9.10	87.5	0.065	0.098					
	4	1	23.40	506.0	0.017	0.065					
GIS Results							4.80	2.40	5.40	0.40	2.6
%Error							0.40	13.7	7.6	21.0	41.
2. Heng-Chi	1	47	0.32	1.0	0.104	0.654	3.61	2.26	3.80	0.68	1.2
	2	13	1.34	3.7	0.060	0.530					
	3	4	2.43	14.0	0.031	0.429					
	4	1	4.97	53.2	0.012	0.347					
GIS Results							3.30	2.60	4	0.60	1.1
%Error							9.4	13.7	5.0	13.3	9.
3. Kasilian	1	49	0.49	1.1	0.109	0.563	3.65	2.09	3.92	0.72	1.3
	2	13	1.03	4.4	0.073	0.436					
	3	4	2.19	17.3	0.038	0.337					
	4	1	4.65	67.8	0.008	0.261					
GIS Results							3.5	1.5	4.3	0.4	1.
%Error							4.3	43.2	8.8	89.5	18.



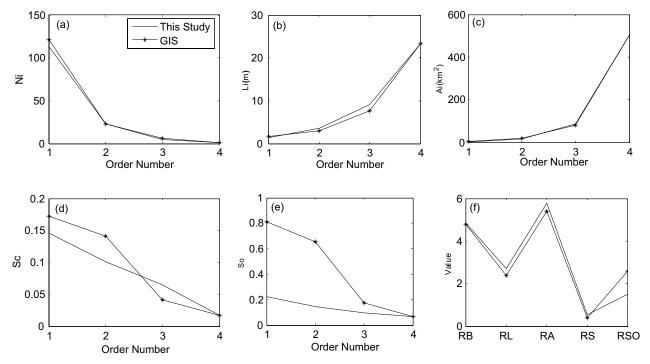


Fig. 8. Verification of geomorphological parameters in the Gagas catchment (source: Authors' own elaboration)

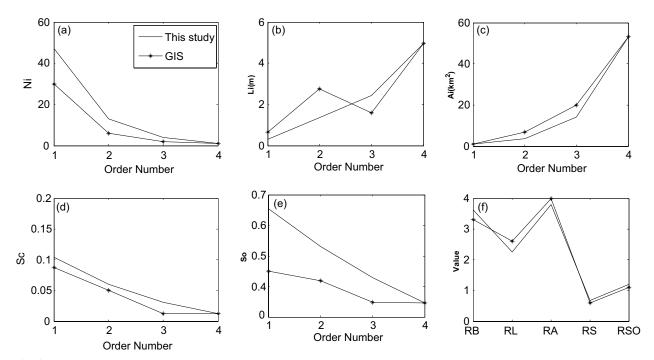
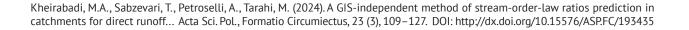


Fig. 9. Verification of geomorphological parameters in the Heng-Chi catchment (source: Authors' own elaboration)



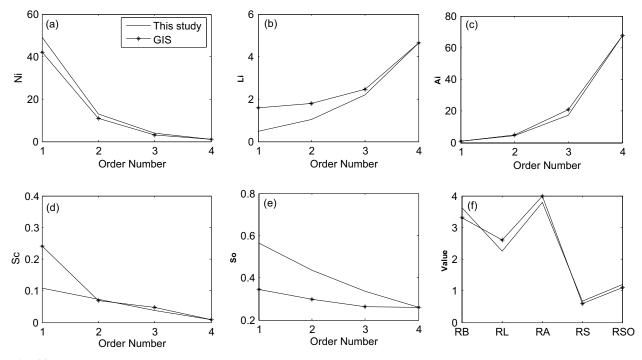


Fig. 10. Verification of geomorphological parameters in the Kasilian catchment (source: Authors' own elaboration)

# Validation of the catchment's direct runoff hydrograph

In the previous sections, the effects of SOLR on runoff were considered separately, and the GP of the three catchments were estimated using the regression equations. To investigate the accuracy of the estimations in more detail, it is more effective to estimate the DRH using the GIUH model. We therefore verified the predicted direct runoff hydrograph for the two catchments, taking into consideration the information from the excess rainfall hyetograph and the recorded runoff from the Kasilian and the Heng-Chi catchments.

The GIUH model was applied to two cases: one in which the SOLR were GIS-based, and the other in which the empirical regression equations developed in this paper were used for the Kasilian and the Heng-Chi catchments. The results of the model in each case were compared with those of the observed and the recorded runoff. Since the observed runoff and rainfall data for the Gagas catchment were not available, this catchment was dispensed with for the verification phase. Figure 11 shows the results of the GIUH model for DRH estimation for the Kasilian catchment for two events, on May 10, 1992 and May 4, 1993. In addition, Fig. (12) shows those in the Heng-Chi catchment for two events, in July 1996 and October 2000.

To validate the suitability of the model for the Kasilian and Heng-Chi catchments, three common statistical measures were used: the coefficient of efficiency (*CE*), root mean square error (*RMSE*), and relative error in peak (*REP*).

Estimation of these three parameters was carried out using the following equations:

$$CE = 1 - \frac{\sum_{t=1}^{n} [Q_{t} - Q_{s}]^{2}}{\sum_{t=1}^{n} [Q_{t} - \bar{Q}_{t}]^{2}}$$
(21)

$$RMSE = \left[\frac{1}{n}\sum_{i=1}^{n} (Q_r - Q_s)^2\right]^{0.5}$$
(22)

$$REP = 100 \times [Q_{p_s} - Q_{p_r}]/Q_{p_r}$$
 (23)

where  $Q_r$  is the recorded discharge at time t;  $Q_s$  is the simulated discharge at time t;  $\overline{Q}_r$  is the mean recorded discharge during the storm event; n is the number of

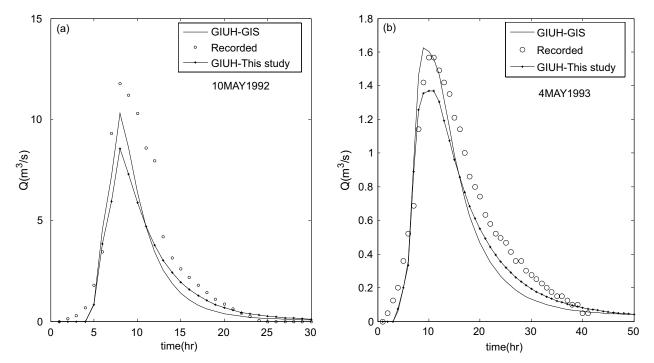


Fig. 11. Estimated direct runoff hydrograph for the Kasilian catchment (source: Authors' own elaboration)

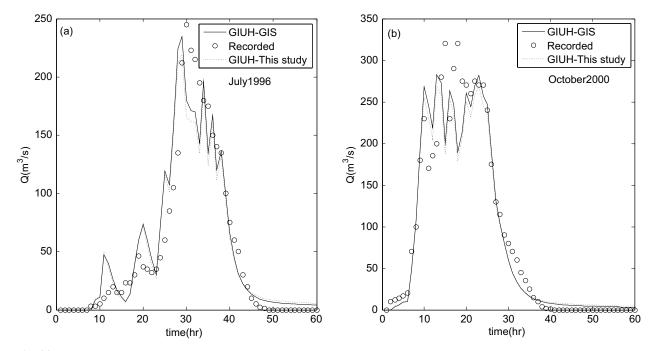


Fig. 12. Estimated direct runoff hydrograph for the Heng-Chi catchment (source: Authors' own elaboration)

discharge records during the storm event;  $Q_{p_s}$  is the peak discharge of the simulated hydrograph; and  $Q_{p_r}$  is the recorded peak discharge.

Table (4) gives the values of *REP*, *CE*, and *RMSE* for the two selected catchments, calculated using both the GIS-supported model and the method proposed in this study.

**Table 4.** Validation of results of the GIUH model (source:Authors' own elaboration)

July1996	REP%	CE	RMSE	
GIS	4.18	0.87	24.54	
This study	10.62	0.86	25.44	
October 2000				
GIS	11.81	0.93	31.22	
This study	15.99	0.92	32.25	
10 May 1992				
GIS	12.68	0.81	1.13	
This study	27.33	0.76	1.26	
4 May 1993				
GIS	3.5	0.87	0.10	
This study	12.6	0.91	0.10	

It can be concluded that the computational error of the values of the runoff peak (*REP*, %) that can be inferred from the results of the method proposed in this paper for the four rainfall-runoff events is, on average, 10% greater than the error resulting from the actual information (GIS-supported). As it can be seen from Figs. (11) and (12), the results of the GIUH model in the two cases, whether using the GIS or the empirical equations, are very similar. *CE* and *RMSE* are also similar. The mean *CE* of the model was computed for the four events as 0.87, which is a satisfactory value.

# CONCLUSIONS

In this research, experimental equations are presented for the calculation of geomorphological and stream-order-law ratios of watersheds characterized by a contributing area lower than 600 km<sup>2</sup>. These equations were developed using a nonlinear regression method fitted to the stream-order-law ratios of nine different worldwide catchments. The equations were applied for verification in three other selected catchments, and the results were compared with those calculated from GIS.

The geomorphological and stream-order-law ratios of three catchments, Gagas, Heng-Chi, and Kasilian, were determined based on the experimental equations given in this research, and were compared with their actual results. The average errors of the model in the estimation of  $R_B$ ,  $R_L$ ,  $R_A$ ,  $R_S$ , and  $R_{SO}$  in the three case study catchments were 4.7%, 23.5%, 7.1%. 41.3%, and 22.9%, respectively.

The sensitivity to runoff of the bifurcation ratio  $(R_B)$ , length ratio  $(R_L)$ , area ratio  $(R_A)$ , stream slope ratio  $(R_S)$ , and overland slope ratio  $(R_{SO})$  in the Kasilian catchment were investigated. The relative sensitivities of the ratios  $R_B$ ,  $R_L$ ,  $R_A$ ,  $R_S$ , and  $R_{SO}$  are shown to be 0.56, 0.01, 0.92, 0.042, and 1.33, respectively. The greatest effects were evident in the overland slope ratio, length ratio, and the bifurcation ratio, and the lowest effect was seen in the area and stream slope ratios.

The direct runoff hydrograph was estimated using GIUH with regard to the geomorphological data computed for the three catchments, and this was then compared with the observed values.

Finally, the estimated stream-order-law ratios were input into the GIUH model and the values for the direct runoff hydrograph of two catchments, Kasilian and Heng-Chi, were calculated and compared with those of the observed direct runoff. The results show that the computational error values of runoff peak (*REP*, %) for the four rainfall-runoff events are, on average, 10% greater than the error resulting from the actual information (GIS-supported). The results of the GIUH model in the two cases, both with and without GIS, are very similar. *CE* and *RMSE* in the two cases also have similar values. The mean coefficient of efficiency of the model was computed for the four events as equal to 0.87.

We suggest to use more geomorphological data in other catchments to update proposed equations parameters in this study to predict runoff in ungauged catchments accurately.

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# METODA NIEZALEŻNA OD GIS, SŁUŻĄCA DO PROGNOZOWANIA WSPÓŁCZYNNIKÓW KLASYFIKACJI SIECI RZECZNEJ W ZLEWNIACH W CELU OSZACOWANIA HYDROGRAMU ODPŁYWU BEZPOŚREDNIEGO

## ABSTRAKT

#### Cel pracy

Niniejszy artykuł ma dwa główne cele: zaprezentowanie równań, które mogą służyć do prognozowania współczynników klasyfikacji sieci rzecznej na podstawie długości, nachylenia strumienia i powierzchni zlewni; zastosowanie prognozowanych współczynników klasyfikacji sieci rzecznej do szacowania bezpośredniego odpływu w zlewniach, przy użyciu metody GIUH.

#### Materiał i metody

Aby móc dokonać obliczeń za pomocą modelu GIUH, uprzednio należy oszacować cechy sieci rzecznej, w tym: wskaźnik bifurkacji (RB), wskaźnik długości cieku (RL), wskaźnik powierzchni cieku (RA), wskaźnik nachylenia cieku (RS) i wskaźnik nachylenia powierzchni (RSO). W tym celu często konieczne są dokładne informacje oparte na danych GIS dotyczących topografii i hydrografii, tymczasem dla wielu zlewni takie informacje nie są dostępne. W niniejszym badaniu przedstawiliśmy wzory pozwalające dokonać obliczeń na podstawie dostępnych danych geomorfologicznych na temat zlewni, takich jak powierzchnia, nachylenie i długość głównej rzeki. Równania przedstawione w niniejszym artykule opracowano na podstawie nieliniowej regresji zmiennych z dziewięciu zlewni.

#### Wyniki i wnioski

Aby zweryfikować zaproponowaną metodę obliczeń, wykorzystano dane z trzech innych zlewni. Na podstawie zaprezentowanych w artykule równań, obliczono wielkości odpływu w zlewniach Heng-Chi (w Tajwanie) i Kasilian (w Iranie) metodą GIUH. Wyniki obliczeń wskazują, że błąd w obliczeniu szczytowego odpływu, w przypadku kalkulacji przy użyciu modelu GIUH opartego na równaniach regresji, był o 10% wyższy niż w przypadku obliczeń dokonywanych w oparciu o dane uzyskane z GIS. Średni błąd równań regresji w szacowaniu współczynników RB, RL, RA i RS w trzech badanych zlewniach wyniósł odpowiednio 4,7%, 23,5%, 7,1%, 41,3% i 22,9%. Względny współczynnik wrażliwości współczynników RB, RL, RA i RS na odpływ szczytowy wyniósł odpowiednio 0,56, 0,01, 0,92, 0,042 i 1,33.

Słowa kluczowe: GIUH, GIS, współczynniki klasyfikacji sieci rzecznej, parametry geomorfologiczne, odpływ